

8/03/05

PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/710,814
Filing Date	August 4, 2004
First Named Inventor	Craig S. Gravina
Art Unit	2173
Examiner Name	TBD
Attorney Docket Number	1002.004

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## Remarks

## This correspondence includes:

Transmittal Form PTO/SB/21 (1 page); Information Disclosure Statement (1 page) and Forms PTO/SB/08A (3 pages) and PTO/SB/08B (1 page); Copies of 6 References; and Itemized Postcard (1 page).

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Tillman Ivsan, PLLC, P.O. Box 471581, Charlotte, NC 28247		
Signature			
Printed name	Brian D. MacDonald		
Date	December 30, 2004	Reg. No.	54,288

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Phyllis L. Dunham	Date	December 30, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DEC 30 2004  
PATENT & TRADEMARK OFFICE

In re U.S. Patent Application of: )  
**GRAVINA** )  
Serial No. 10/710,814 ) Art Unit: 2173  
Filed August 4, 2004 ) Confirmation No. 7991  
For: CONTROLLER A REMOVABLE USER )  
INTERFACE (RUI) FOR MEDIA EVENT AND ) Examiner: TBD  
ADDITIONAL MEDIA CONTENT )  
Docket No. 1002.004 )

December 30, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

In compliance with the Applicant's duty of disclosure under 37 CFR §1.56, Applicant brings the documents cited on the attached forms PTO/SB/08A and PTO/SB/08B to the attention of the U.S. Patent and Trademark Office in accordance with the provisions of 37 CFR §1.97-98.

Nothing herein—including the submission of the documents themselves, whether in this or any other filing—constitutes an admission by Applicant that any of the documents is prior art with respect to the present invention, or a waiver of any rights of Applicant. Indeed, while each citation includes a date, it should be understood that, whether expressly stated or not, the date specified in the citation is a date as alleged by the document or otherwise, and Applicant neither admits nor denies the correctness of the specified date at this time. Moreover, with regard to non-patent documents, Applicant neither admits nor denies that each document submitted is, in fact, a "publication" within the meaning of 35 U.S.C. §102.

A first Office action on the merits has not been mailed for the above-referenced application and therefore no fee is due with this submission according to CFR §1.97(b)(3). Copies of those documents listed on the attached form PTO/SB08B, and a copy of the foreign patent document listed on the attached form PTO/SB08A are included herewith.

Respectfully submitted,



Brian D. MacDonald  
U.S. Reg. No. 54,288  
TILLMAN IVSAN, PLLC  
Telephone (704) 248-6153  
Fax (877) 248-5100

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 3

**Complete if Known**

Application Number	10/710,814
Filing Date	August 4, 2004
First Named Inventor	Craig S. Gravina
Art Unit	2173
Examiner Name	TBD
Attorney Docket Number	1002.004

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2003-0002071-A1	01-02-2003	Berkema	
		US- 2003-0023554-A1	01-30-2003	Yap	
		US- 2003-0071836-A1	04-17-2003	Chang	
		US- 2003-0099259-A1	05-29-2003	Perini	
		US- 2003-0236917-A1	12-25-2003	Gibbs	
		US- 2004-0022520-A1	02-05-2004	Kinzer	
		US- 2004-0043365-A1	03-04-2004	Kelley	
		US- 2004-0051248-A1	03-18-2004	Griesse	
		US- 2004-0248567-A1	12-09-2004	Sigurdsson	
		US- 4,406,998	09-27-1983	Willough	
		US- 4,785,420	11-15-1988	Little	
		US- 5,410,326	04-25-1995	Goldstein	
		US- 5,686,705	11-11-1997	Conroy	
		US- 5,877,458	03-02-1999	Flowers	
		US- 5,991,693	11-23-1999	Zalewski	
		US- 6,522,342-B1	02-18-2003	Gagnon	
		US- 6,608,618-B2	08-19-2003	Wood	
		US- 6,641,401-B2	11-04-2003	Wood	
		US- 6,661,407-B2	12-09-2003	Severson	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			T <sup>6</sup>
		EP-1204275-A2	05-08-2002	St. Maurice	

Examiner Signature		Date Considered
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2

of 3

Application Number	10/710,814
Filing Date	August 4, 2004
First Named Inventor	Craig S. Gravina
Art Unit	2173
Examiner Name	TBD

Attorney Docket Number 1002.004

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,668,156-B2	12-23-2003	Lynch	
		US- 6,724,339-B2	04-20-2004	Conway	
		US- 6,697,602-B1	02-24-2004	Ferrigno	
		US- D470,537-S	02-18-2003	Kinzer	
		US- 2004-0048642-A1	03-11-2004	Kinzer	
		US- 2003-0132916-A1	07-17-2003	Kramer	
		US- 6,327,459-B2	12-04-2001	Redford	
		US- 6,219,164-B1	04-17-2001	Morgaine	
		US- 5,977,951	11-02-1999	Danieli	
		US- 5,911,582	06-15-1999	Redford	
		US- 5,845,160	12-01-1998	Patton	
		US- 5,822,098	10-13-1999	Morgaine	
		US- 5,667,319	09-16-1997	Satloff	
		US- 5,624,265	04-29-1997	Redford	
		US- 5,451,053	09-19-1995	Garrido	
		US- 5,364,108	11-15-1994	Esnouf	
		US- 5,088,928	02-18-1992	Chan	
		US- 4,439,757	03-27-1984	Gross	
		US- 3,704,337	11-28-1972	Sims	

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 3

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Attorney Docket Number	1002.004

Attorney Docket Number 1002.004

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet

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of

1

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Filing Date	August 4, 2004
First Named Inventor	Craig S. Gravina
Art Unit	2173
Examiner Name	TBD
Attorney Docket Number	1002.004

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		U.S. Provisional Patent Application Number 60/147,949, of KRAMER, filed August 6, 1999	
		U.S. Provisional Patent Application Number 60/307,384, of CHANG, filed July 25, 2001	
		U.S. Provisional Patent Application Number 60/380,764, of KINZER, filed May 14, 2002	
		U.S. Provisional Patent Application Number 60/413,627, of KINZER, filed September 25, 2002	
		Author not known, "Notice pour telecommande dvd-kids," found at www.dvd-kids.com on March 24, 2004, a date of "10/2003" is indicated on the cover, 20 pages	

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